

PATENT
Attorney Docket No.: TMP-0031CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Douglas E. Hudson Examiner: not yet assigned
Serial No.: 10/617,200 Group Art Unit: 3743
Filed: July 10, 2003
Title: WORKPIECE CHUCK WITH TEMPERATURE CONTROL ASSEMBLY
HAVING SPACERS BETWEEN LAYERS PROVIDING CLEARANCE
FOR THERMOELECTRIC MODULES

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

1-8-04

Date

Amy Green
Amy Green

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir: TRANSMITTAL LETTER

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Form PTO-1449 and copies of cited references AK, AP and BA; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: 1/8/04
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774

Steven M. Mills
Steven M. Mills
Registration Number 36,610
Attorney for Applicants



PATENT
Attorney Docket No.: TMP-0031CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Douglas E. Hudson Examiner: not yet assigned
Serial No.: 10/617,200 Group Art Unit: 3743
Filed: July 10, 2003
Title: WORKPIECE CHUCK WITH TEMPERATURE CONTROL
ASSEMBLY HAVING SPACERS BETWEEN LAYERS PROVIDING
CLEARANCE FOR THERMOELECTRIC MODULES

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22312-1450.

1-8-04
Date

Amy Green
Amy Green

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22312-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): Douglas E. Hudson
Serial No.: 10/617,200

Statement Under 37 1.97(e)

- ☒ [X] Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ [] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ [X] Copies of cited references are enclosed.
- ☐ [] Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ [] The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ [] The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for reference XX is satisfied by:
- ☐ [] the explanation provided on the attached sheet.
- ☐ [] the explanation provided in the Specification.
- ☐ [] abstract.

It is requested the information disclosed herein be made of record in this application.

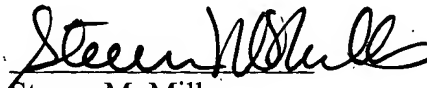
Applicant(s): Douglas E. Hudson
Serial No.: 10/617,200

Method of payment:

- ☐ Enclosed is a check in the amount of _____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: 1/8/04
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774


Steven M. Mills
Registration Number 36,610
Attorney for Applicants

J:\TMP\0031CIP\suppidsform.wpd

Subst. Form PTO-1449

Docket Number (Optional)

Application Number

TMP-0031CIP

10/617,200

Applicant

Douglas E. Hudson, et al.

Filing Date

July 10, 2003

Group Art Unit

3743

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

U. S. Patent Documents

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK	6,347,521	2/19/02	Kadotani, et al.	62	3.7	10/11/00

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP	EP 0762480	12-3-97	Europe	H01L	21/00		
	AQ							

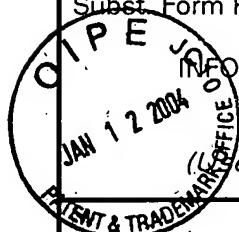
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.



Subst. Form PTO-1449

Docket Number (Optional)

Application Number

TMP-0031CIP

10/617,200

Applicant

Douglas E. Hudson, et al.

Filing Date

July 10, 2003

Group Art Unit

3743

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

U. S. Patent Documents

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	2001/0003901	6/21/01	Bolandi, et al.	62	3.7	1/16/01
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						
	BK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	BL							
	BM							
	BN							
	BO							
	BP							
	BQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	BR	
	BS	
	BT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

